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( Not for submission under 37 CFR 1.99)

Application Number	09801490
Filing Date	2001-03-08
First Named Inventor	Keith
Art Unit	3694
Examiner Name	Daniel S. Felten
Attorney Docket Number	125530

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1	U.S. Securities and Exchange Commission, "Special Study: Payment for Order Flow and Internalization in the Options Markets," Office of Compliance Inspections and Examinations/Office of Economic Analysis, December 2000, < <a href="http://www.sec.gov/news/studies/ordpay.htm">http://www.sec.gov/news/studies/ordpay.htm</a> > [retrieved March 25, 2008], pages 1 and 12-14.	<input type="checkbox"/>
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